Attachment 1

**Measures for Standard Warehouse Receipt Trading Management of Dalian Commodity Exchange (Trial)**

**(Amendment)**

Article 3 Applicant for warehouse receipt trading client qualification must meet the following requirements:

(1)being an enterprise legal person duly registered with the industry and commerce authority and qualified for operation of the product involved;

(2)being able to issue dedicated VAT invoice for the product involved;

(3)being a client or Non-Futures Company Member of the Exchange, or any other party that can legitimately hold the standard warehouse receipts;

(4)acknowledging and following the laws and regulations of relevant government regulatory authorities, the Measures, as well as the rules and regulations promulgated by the Exchange;

(5)having futures delivery records of the products involved, unless it is a financial institution in banking, securities or other institutions;

(65)having a sound risk management system, financial accounting system and internal control system;

(76)having no record of administrative or criminal penalties for major violations of laws and regulations in the last 3 years; and

(87)other requirements as determined by the Exchange.

……

***Note:*** *Contents newly added are in shade; contents deleted are marked with double strikethrough; clauses unchanged are represented by ellipsis.*