Attachment 3

**Comparison Table of the Amendments to the Relevant Rules**

1. **Comparison Table of the Amendments to**

**the Measures for Trading Management of Dalian Commodity Exchange**

(Contents newly added are in shade; contents deleted are marked with double strikethrough; and where any clause is added or deleted, the number of other clauses shall be changed in order accordingly.)

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| **Original Articles** | **Amended Articles** |
| **Article 4** The Member will obtain one (1) floor trading seat after obtaining its membership. The number of the trading seats may be increased after being approved by the Exchange.The OSP can obtain a remote trading seat after obtaining the qualification of Overseas Special Participant and being approved by the Exchange.The Members and the OSPs shall be obligated to pay the usage fee of seat to the Exchange in case of the increase of trading seats, the charging standards shall be formulated and promulgated by the Exchange. | **Article 4** The Member will obtain one (1) floor trading seat after obtaining its membership. Members may increase Tthe number of the remote trading seats may be increased after being approved by the Exchange.The OSP can obtain a remote trading seat after obtaining the qualification of Overseas Special Participant and being approved by the Exchange.The Members and the OSPs shall apply for remote trading seats through the Member service system and sign agreements with the Exchange. The Members and the OSPs shall be obligated to pay the usage fee of seat to the Exchange annually in case of the increase of to use trading seats. The charging standards and method shall be provided formulated and promulgated by the Exchange separately. |
| **Article 6** The Member which applies for increasing floor trading seats shall satisfy the following conditions:(1) having good operation status;(2) ranking the top fifty (50) members which have the most trading volume within three (3) consecutive months as of the application date, or having a larger number of the Exchange's futures trading; and(3) other conditions required by the Exchange. | **Article 6** The Member which applies for increasing floor trading seats shall satisfy the following conditions:(1) having good operation status;(2) ranking the top fifty (50) members which have the most trading volume within three (3) consecutive months as of the application date, or having a larger number of the Exchange's futures trading; and(3) other conditions required by the Exchange. |
| **Article 7** The Member which applies for increasing the floor trading seats shall sign an agreement with the Exchange through the Member service system and file an application for increasing trading seats to the Exchange. The contents of the application shall mainly include the types of the seat(s), the scope of execution report and others.The Exchange may require the Member to provide other materials, if necessary. | **Article 7** The Member which applies for increasing the floor trading seats shall sign an agreement with the Exchange through the Member service system and file an application for increasing trading seats to the Exchange. The contents of the application shall mainly include the types of the seat(s), the scope of execution report and others.The Exchange may require the Member to provide other materials, if necessary. |
| **Article 8** The Member shall complete the relevant entrance formalities at the Exchange within ten (10) days after the Exchange approves the application for increasing floor trading seat(s). The Exchange is entitled to cancel the floor trading seats applied for by the Member when there is a delay on the entrance formalities without due reason. | **Article 8** The Member shall complete the relevant entrance formalities at the Exchange within ten (10) days after the Exchange approves the application for increasing floor trading seat(s). The Exchange is entitled to cancel the floor trading seats applied for by the Member when there is a delay on the entrance formalities without due reason. |
| **Article 10** Anyone who applies for a remote trading seat shall sign an agreement with the Exchange through the Member service system and submit the following materials to the Exchange:(1) the application for increasing the trading seat(s), the contents of which shall include the type of the seats, the scope of execution report, the installation address, the business license number and others;(2) the photocopy of the business license of the applicant institution that intends to apply for operation;(3) the information of infrastructures and staffing of the remote trading system; and(4) other materials required by the Exchange. | **Article 7**10 Anyone who applies for a remote trading seat shall sign an agreement with the Exchange through the Member service system and submit the following information and materials to the Exchange:(1) the application for increasing the trading seat(s), the contents of which shall include the type of the seat, the scope of execution report, the installation address, the business license number and others;(2) the photocopy of the business license of the applicant institution that intends to apply for operation installation address and descriptions of the seat;(3) the information of infrastructures and staffing of the remote trading system; and(4) client type and number of clients; and(54) other information and materials required by the Exchange. |
| **Article 11** The Exchange shall make a reply within twenty (20) trading days after receiving the application materials for the remote trading seat which are submitted by the Member, and within ten (10) trading days after receiving the application materials for the remote trading seat which are submitted by the OSP. | **Article 8**11 The Exchange shall make a reply within ten (10) twenty (20) trading days after receiving the application materials for the remote trading seat which are submitted by the Member, and within ten (10) trading days after receiving the application materials for the remote trading seat which are submitted by the OSP. |
| **Article 12** The Member or the OSP shall pay the usage fee of seat to the Exchange within ten (10) trading days after receipt of the reply that the Exchange approves the application for conducting the remote trading. The Exchange is entitled to cancel the remote trading seats which applied for by the Member when there is a delay on payment of the usage fee without due reason. | **Article 9**12The Member or the OSP shall pay the usage fee of seat to the Exchange and complete relevant procedures within ten (10) trading days after receipt of the reply that the Exchange approves the application for conducting the remote trading seats. The Exchange is entitled to cancel the remote trading seats which applied for by the Member when there is a delay on payment of the usage fee without due reason. |
| **Article 16** The Exchange is entitled to cancel the increased trading seats of the Member or the OSP under any of the following circumstances:(1) any inaccuracy in the application materials provided by the Member;(2) wholly or partially leasing, contracting or otherwise transferring the seat(s) to any other institution or individual;(3) having disordered management or material irregularities;(4) failing to satisfy the conditions for using the increased trading seats;(5) using the increased trading seats to engage in other activities other than trading;(6) the Member or the OSP applying for the cancellation itself; or(7) other circumstances which will result in cancellation as held by the Exchange. | **Article 13**6 The Exchange is entitled to cancel the increased trading seats of the Member or the OSP under any of the following circumstances:(1) any inaccuracy in the application materials provided by the Member;(2) wholly or partially leasing, contracting or otherwise transferring the seat(s) to any other institution or individual;(3) having disordered management or material irregularities;(4) failing to satisfy the conditions for using the increased trading seats;(5) using the increased trading seats to engage in other activities other than trading;(6) the Member or the OSP applying for the cancellation itself of the seats, which is approved by the Exchange; or(7) the Member being disqualified as a Member or the OSP being disqualified as an OSP of the Exchange; or(87) other circumstances which will result in cancellation as held by the Exchange. |
| **Article 18** In case a Member loses its membership of the Exchange or an OSP loses its qualification as the Overseas Special Participant of the Exchange, all of its trading seat(s) shall be terminated for use accordingly. | **Article 18** In case a Member loses its membership of the Exchange or an OSP loses its qualification as the Overseas Special Participant of the Exchange, all of its trading seat(s) shall be terminated for use accordingly. |
| 1. The Exchange shall timely release the following information related to the trading:
	* 1. *Opening Price*. In respect of a certain futures contract, the opening price means the trade price generated from the call auction within five (5) minutes prior to the market-opening. In case no trade price is generated from the opening call auction, the first trade price after the market opens shall be the opening price. The first trade price shall be determined pursuant to Article 44 or 46 of the Measures;

**……** | **Article 3531**The Exchange shall timely release the following information related to the trading:* + 1. *Opening Price*. In respect of a certain futures contract, the opening price means the trade price generated from the call auction within five (5) minutes prior to the market-opening. In case no trade price is generated from the opening call auction, the first trade price after the market opens shall be the opening price. The first trade price shall be determined pursuant to Article 404 or 426 of the Measures;

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1. **Comparison Table of the Amendments to**

**the Measures for Membership Management of Dalian Commodity Exchange**

(Contents newly added are in shade; contents deleted are marked with double strikethrough.)

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| **Original Articles** | **Amended Articles** |
| **Article 12** A Member shall have one (1) floor trading seat after it formally obtains the membership of the Exchange. If a Member needs to increase the trading seat(s) due to its business development, it shall submit an application to the Exchange for its examination and approval. The increased trading seat(s) shall be used for at least one (1) year, and the seat usage fee of CNY twenty thousand (20,000) will be charged annually.A Member may apply for a remote trading seat in accordance with applicable procedures. The use of a remote trading seat shall strictly comply with the applicable rules of the Exchange. | **Article 12** A Member shall have one (1) floor trading seat after it formally obtains the membership of the Exchange. If a A Member needs to increase the trading seat(s), due to its business development, it shall may submit an application for remote trading seats in accordance with the prescribed procedure, which will be subject to the Exchange for its examination and approval of the Exchange. The increased trading seat(s) shall be used for at least one (1) year, and tThe seat usage fee of CNY twenty thousand (20,000) will be charged annually. The charging standards and method shall be provided and promulgated by the Exchange separately.A Member may apply for a remote trading seat in accordance with applicable procedures. The use of a remote trading seat shall strictly comply with the applicable rules of the Exchange. |

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