Attachment 2

**Comparison Table of the Amendments to the Measures for Risk Management of Dalian Commodity Exchange**

(Contents newly added are in shade; contents deleted are marked with double strikethrough; and clauses unchanged are represented by ellipsis.)

|  |  |
| --- | --- |
| **Original Articles** | **Amended Articles** |
| **Article 29** Position limits of futures contracts of each product shall be as follows:1. products other than the iron ore, egg and live hog
2. During the general months of the futures contract of a certain product other than the iron ore, egg and live hog (from the listing of the contract to the fourteenth trading day of the month immediately preceding the delivery month), the position limit applicable to the Non-Futures Company Member, the OSNBP and the client shall be as follows:

(Unit: Lot)

|  |  |  |  |
| --- | --- | --- | --- |
| **Product** | **Unilateral Open Interest of Contract** | **Non-Futures Company Member/OSNBP** | **Client** |
| …… | …… | …… | …… |
| No. 2 soybeans | Unilateral open interest ≤200,000 | 20,000 | 20,000 |
| Unilateral open interest ＞200,000 | Unilateral open interest ×10% | Unilateral open interest ×10% |
| …… | …… | …… | …… |
| Linear low density polyethylene | Unilateral open interest ≤100,000 | 20,000 | 10,000 |
| Unilateral open interest ＞100,000 | Unilateral open interest ×20% | Unilateral open interest ×10% |
| Polyvinyl chloride | Unilateral open interest ≤200,000 | 40,000 | 20,000 |
| Unilateral open interest ＞200,000 | Unilateral open interest ×20% | Unilateral open interest ×10% |
| …… | …… | …… | …… |
| Polypropylene | Unilateral open interest ≤200,000 | 20,000 | 20,000 |
| Unilateral open interest ＞200,000 | Unilateral open interest ×10% | Unilateral open interest ×10% |
| …… | …… | …… | …… |

 | **Article 29** Position limits of futures contracts of each product shall be as follows:1. products other than the iron ore, egg and live hog
2. During the general months of the futures contract of a certain product other than the iron ore, egg and live hog (from the listing of the contract to the fourteenth trading day of the month immediately preceding the delivery month), the position limit applicable to the Non-Futures Company Member, the OSNBP and the client shall be as follows:

(Unit: Lot)

|  |  |  |  |
| --- | --- | --- | --- |
| **Product** | **Unilateral Open Interest of Contract** | **Non-Futures Company Member/OSNBP** | **Client** |
| …… | …… | …… | …… |
| No. 2 soybeans | Unilateral open interest ≤200,000100,000 | 20,0005,000 | 20,0005,000 |
| Unilateral open interest ＞200,000100,000 | Unilateral open interest ×105% | Unilateral open interest ×105% |
| …… | …… | …… | …… |
| Linear low density polyethylene | Unilateral open interest ≤100,000200,000 | 20,000 | 10,00020,000 |
| Unilateral open interest ＞100,000200,000 | Unilateral open interest ×20%10% | Unilateral open interest ×10% |
| Polyvinyl chloride | Unilateral open interest ≤200,000 | 40,00020,000 | 20,000 |
| Unilateral open interest ＞200,000 | Unilateral open interest ×20%10% | Unilateral open interest ×10% |
| …… | …… | …… | …… |
| Polypropylene | Unilateral open interest ≤200,000 | 20,000 | 20,000 |
| Unilateral open interest ＞200,000 | Unilateral open interest ×10% | Unilateral open interest ×10% |
| …… | …… | …… | …… |

 |
| 1. During the period from the fifteenth trading day of the month immediately preceding the delivery month to the delivery month, the position limit applicable to the Non-Futures Company Member, the OSNBP and the client for any product other than the iron ore, egg and live hog is shown in the table below, and the position limit applicable to the individual client during the delivery month shall be zero (0):

 (Unit: Lot)

|  |  |  |  |
| --- | --- | --- | --- |
| **Product** | **Period** | **Non-Futures Company Member/OSNBP** | **Client** |
| …… | …… | …… | …… |
| No. 2 soybeans | As from the fifteenth trading day of the month immediately preceding the delivery month | 4,500 | 4,500 |
| Delivery month | 1,500 | 1,500 |
| …… | …… | …… | …… |
| Linear low density polyethylene | As from the fifteenth trading day of the month immediately preceding the delivery month | 6,000 | 3,000 |
| Delivery month | 2,000 | 1,000 |
| Polyvinyl chloride | As from the fifteenth trading day of the month immediately preceding the delivery month | 10,000 | 5,000 |
| Delivery month | 5,000 | 2,500 |
| …… | …… | …… | …… |
| Polypropylene | As from the fifteenth trading day of the month immediately preceding the delivery month | 5,000 | 5,000 |
| Delivery month | 2,500 | 2,500 |
| …… | …… | …… | …… |

 | (b) During the period from the fifteenth trading day of the month immediately preceding the delivery month to the delivery month, the position limit applicable to the Non-Futures Company Member, the OSNBP and the client for any product other than the iron ore, egg and live hog is shown in the table below, and the position limit applicable to the individual client during the delivery month shall be zero (0): (Unit: Lot)

|  |  |  |  |
| --- | --- | --- | --- |
| **Product** | **Period** | **Non-Futures Company Member/OSNBP** | **Client** |
| …… | …… | …… | …… |
| No. 2 soybeans | As from the fifteenth trading day of the month immediately preceding the delivery month | 4,5003,000 | 4,5003,000 |
| Delivery month | 1,5001,000 | 1,5001,000 |
| …… | …… | …… | …… |
| Linear low density polyethylene | As from the fifteenth trading day of the month immediately preceding the delivery month | 6,0005,000 | 3,0005,000 |
| Delivery month | 2,0002,500 | 1,0002,500 |
| Polyvinyl chloride | As from the fifteenth trading day of the month immediately preceding the delivery month | 10,0005,000 | 5,000 |
| Delivery month | 5,0002,500 | 2,500 |
| …… | …… | …… | …… |
| Polypropylene | As from the fifteenth trading day of the month immediately preceding the delivery month | 5,000 | 5,000 |
| Delivery month | 2,500 | 2,500 |
| …… | …… | …… | …… |

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